

SC 4TV  
Docket No. 13761-0734

**Certificate of Mailing/Transmission (37 C.F.R. § 1.8(a)):**

[X] Pursuant to 37 C.F.R. § 1.8(a), I hereby certify that this paper and all enclosures are being deposited with the United States Postal Service as first class mail on the date indicated below in an envelope addressed to the Assistant Commissioner for Patents, Washington D.C. 20231.

[ ] Pursuant to 37 C.F.R. § 1.6(d), I hereby certify that this paper and all enclosures are being sent via facsimile on the date indicated below to the attention of Examiner \_\_\_\_\_ at Facsimile No. \_\_\_\_\_ at \_\_\_\_\_ a.m./p.m.

Dated: July 17, 2001

Name of Person Certifying: \_\_\_\_\_

Printed Name: Laer Barrett

*Laer Barrett*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Peter C. Brooks, et al.

Assignee: University of  
Southern California

Filing Date: July 13, 2000

Examiner: Unassigned

Serial No.: 09/615,624

Group Art Unit: Unknown

Title: **NOVEL METHOD AND COMPOSITION FOR INHIBITION OF  
ANGIOGENESIS USING ANTAGONISTS BASED ON MMP-9 AND  $\beta$ 1  
INTEGRINS**

BOX MISSING PARTS

Assistant Commissioner for Patents

Washington, D.C. 20231

**Submission of Missing Parts of Application Under 37 C.F.R. 1.53**

Sir:

In response to the Notice of Missing Parts of Application mailed on June 1, 2001, enclosed are the following items for filing in the above-referenced U.S. Patent Application:

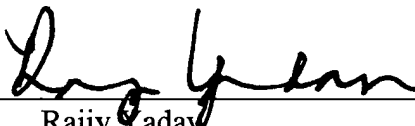
- ☒ Declaration and Power of Attorney [3] page(s)  
Payment for surcharge for late filing ☒ \$65.00 *small entity* ☐ \$130.00 *large entity*
- ☐ A Petition for Extension of Time. ( \_ months), [ ] page(s) Fee \$ \_\_\_\_\_
- ☒ Applicant(s) claim Small Entity Status under 37 CFR § 1.27.
- ☒ Copy of Notice to File Missing Parts of Nonprovisional Application dated June 1, 2001.
- ☒ Copy of Notice of Incomplete Reply (Nonprovisional) dated June 21, 2001.
- ☒ Copy of Cover Letter for Resending Correspondence dated June 29, 2001.

- ☐ Request for Refund under 37 CFR 1.28(a) for the overpayment of the filing fee and surcharge.
- ☒ Return Postcard.
- ☒ Conditional Petition for Extension of Time: An extension of time is requested to provide for timely filing if an extension of time is still required after all papers filed with this communication have been considered.
- ☒ Check in the amount of \$1,205.00 to cover the Total Fees due is enclosed.
- ☐ Please charge the above fee(s) to Deposit Account No. \_\_\_\_\_, Docket No. \_\_\_\_\_, in the amount of \$\_\_\_\_\_ to cover the above fees. *A duplicate copy of this sheet is attached.*
- ☒ The Commissioner is hereby authorized to charge payment of any additional fees due or credit any overpayment to Deposit Account No. 50-1192, Docket No. 13761-0734. *A duplicate copy of this sheet is enclosed.*

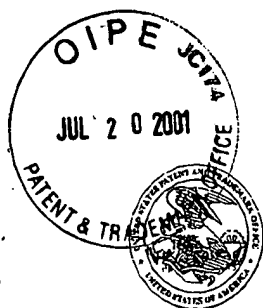
Having now complied with all of the requirements of 37 C.F.R. 1.53, applicant respectfully requests that this application be placed upon the files for examination.

Date: July 17, 2001

Respectfully submitted,

By:   
Rajiv Yadav  
Registration No. 43,999

McCutchen, Doyle, Brown & Enersen, LLP  
Three Embarcadero Center, 18<sup>th</sup> Floor  
San Francisco, California 94111  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/615,624	07/13/2000	Peter C. Brooks	13761-734

CONFIRMATION NO. 3563

## FORMALITIES LETTER



\*OC000000005476632\*

Rajiv Yadav  
3 Embarcadero Center  
San Francisco, CA 94111

Date Mailed: 06/01/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1590.
  - \$1512 for 84 total claims over 20.
  - \$78 for 1 independent claims over 3 .
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2410.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/24/2001 KZENDIE 00000009 501192 09615624  
 01 FC:201 355.00 OP  
 02 FC:205 65.00 OP  
 03 FC:202 40.00 OP  
 04 FC:203 745.00 OP  
 11.00 CH

## UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/615,624	07/13/2000	Peter C. Brooks	13761-734

CONFIRMATION NO. 3563

RESEND COVER LETTER



\*OC00000006243351\*

Rajiv Yadav  
McCutchen, Doyle, Brown & Emerson, LLP  
355 South Grand Avenue, Suite 4400  
Los Angeles, CA 90071

Date Mailed: 06/29/2001

## COVER LETTER FOR RESENDING CORRESPONDENCE

A courtesy copy of the Notice mailed on 06/01/2001 is enclosed. The time period for reply continues to run from the mail date of that Notice.

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/615,624	07/13/2000	Peter C. Brooks	13761-734

CONFIRMATION NO. 3563

## FORMALITIES LETTER



\*OC00000006212404\*

Rajiv Yadav  
McCutchen, Doyle, Brown & Emerson, LLP  
355 South Grand Avenue, Suite 4400  
Los Angeles, CA 90071

Date Mailed: 06/21/2001

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on 04/09/2001 to the Notice to File Missing Parts (Notice) mailed 06/01/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1590.
  - \$1512 for 84 total claims over 20.
  - \$78 for 1 independent claims over 3.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2410.

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE